

# Hardware Implementation for Entropy Coding and Byte Stream Packing Engine in H.264/AVC

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**Abstract**— Entropy coding and data packing are the major phases in video coding. The new video coding standard, H.264 Advanced Video Coding (H.264/AVC), has adopted Exp-Golomb and Context-Adaptive coding methods to increase data compression ratio. In this paper, we propose hardware architecture of entropy encoding and byte stream data packing engines for the H.264/AVC. Our entropy coding engine, that contains Exp-Golomb and Context-Adaptive Variable Length Coding (CAVLC), supports baseline and main profile of the standard. The proposed architecture is implemented using 180nm technology from AMS. The design consumes only 1.56mW at the operating frequency of 100MHz.

**Keywords**—H.264/AVC, entropy coding, CAVLC, Exp-Golomb, Video byte stream

## I. INTRODUCTION

Recommended by both the ITU-T Video Coding Experts Group (VCEG) and the ISO/IEC Moving Picture Experts Group (MPEG), the H.264 Advanced Video Coding (H.264/AVC) is the latest, high coding efficiency video coding standard. An H.264 video encoder contains prediction (intra- and inter-), transform-quantization and entropy coding phases as in a regular video encoder. However, it can save approximately 50% of bit rate in comparison with previous standards [1] thanks to the adoption of several highlighted features. The intra-prediction predicts the current block from encoded pixels in the current frame to remove spatial redundancies in video data. The H.264/AVC also enables even neighboring inter-encoded pixels to become reference pixels as directional spatial prediction for intra-coding [1]. The inter-prediction estimates the motion of the current block referring to other frame(s) to remove temporal redundancies in video data. In H.264/AVC inter-prediction, enhancements such as variable block size, quarter-sample-accurate motion vector, multiple reference pictures, improved “skipped” and “direct” motion inference, weight prediction and de-blocking filter improve prediction quality and thus coding efficiency [1]. New transform and quantization were adopted in the H.264/AVC to process residual data, which is the difference between the predicted and the current information. Integer-transform on a smaller block, i.e. 4×4 instead of 8×8, requires only 16-bit arithmetic computation. It also improves the prediction phase and enables an exact decoded video quality from all decoders

[1]. In the final phase, i.e. entropy encoding, that removes statistical redundancies, context-based adapting improves the coding performance in comparison with previous standards [1].

The H.264/AVC also provides high loss/error robustness using separated parameter set structures, which keep key information: NAL (Network Abstraction Layer) unit syntax structure, FMO (Flexible Macro-block Ordering), ASO (Arbitrary Slice Ordering), redundant picture, data partitioning and SP/SI switching pictures enabling decoders to switch data rate and recover from data losses. For instance, the NAL unit syntax structure enables “network friendliness” to customize the use of Video Coded Layer (VCL) for various systems and networks [1].

Many works propose hardware (HW) implementation of the standard. However, they mostly focused on the prediction part of encoders/decoders and on the improvements of algorithms. In this paper, a full HW implementation of an Entropy Coding (EC) containing Exp-Golomb and CAVLC coder for the H.264/AVC baseline profile is proposed. We also describe briefly the specifications of EC and the H.264/AVC byte-stream video data and proposed hardware byte-stream packing engine. The whole design is integrated in an H.264 video encoding system targeting CIF video format. The synthesis results with a CMOS 180nm technology from AMS show that our design can work at the frequency of 100MHz to support 720HD video format. The power consumption result is the best in comparison with previous ones, only 1.56mW.

The rest of the paper is organized as follows. Section II briefly introduces the specifications of the entropy coding in the H.264 and summarizes related works. Section III presents the entropy coding and the byte stream packing engine is also proposed in this section. The implementation results are discussed in Section IV. Finally, Section V highlights the main contributions and provides future work directions.

## II. SPECIFICATIONS AND STATE-OF-THE-ART OVERVIEW

### A. Specifications

The output encoded video data is specified by ITU-T Recommendations [2]. This section will introduce the specifications of encoded video data and entropy coding.

### 1) Byte stream encoded video

Fig. 1 shows the structure of an encoded video stream. Video data at Video Coding Layer (VCL) consists of slices. The first slices of a video sequence are always Instantaneous Decoder Refresh (IDR) slices forming an IDR frame. Other IDR slices in the stream start new video sequences. Each slice is composed of Slice Header (SH) and slice data. SH contains the information that supports the slice decoding process. Slice data is a sequence of interchange macroblocks (MBs) and MBs skipped indication. Each MB contains an MB header and residual data. The MB header gathers the prediction information from Intra Prediction (Intra-) and Inter prediction (Inter-) and other information like Quantization Parameter (QP) and Coded Block Pattern (CBP). Residual data are residual post-quantization coefficients.

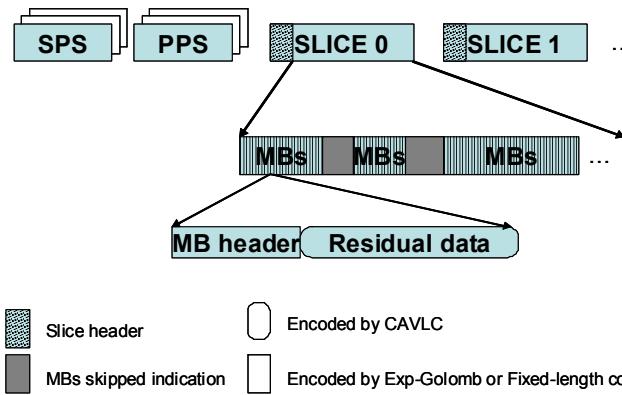


Fig. 1. Encoded video structure.

Being encapsulated at Network Abstraction Layer (NAL), the video output data consists of NAL Units (NALUs). Each VCL NALU contains one slice. Non-VCL NALUs are Sequence Parameter Set (SPS), Picture Parameter Set (PPS), End Of Sequence (EOSeq), End Of Stream (EOS), and so on. SPS is a set of parameters to decode one video sequence. PPS gathers the parameters for pictures. At least, there are one SPS and one PPS at the beginning of video stream. EOSeq, EOS, and many other non-VCL NALUs are optional.

Each NALU starts with one special byte followed by Raw Byte Sequence Payloads (RBSP) bytes [2].

- The first byte is composed of one forbidden\_zero\_bit, two bits representing nal\_ref\_idc and five bits representing nal\_unit\_type. If the NALU is referred by other NALUs, e.g. NALUs containing SPSs, PPSs, slices of reference frames, nal\_ref\_idc is not equal to zero. Otherwise, nal\_ref\_idc's value will be zero. Values of nal\_unit\_type are specified in the ITU-T Recommendation.
- Inside RBSP bytes, a byte named emulation\_prevention\_three\_byte valued 0x03 might be inserted to prevent the decoder from detecting a start code inside the content of NALU. Each three bytes having values in range from 0x000000 to 0x000003 will be represented as four bytes from 0x00000300 to 0x00000303.

- If the NALU content is already byte-aligned, the final byte after the content will be 0x80 which contains one bit '1' called rbsp\_stop\_one\_bit and seven bits '0' called rbsp\_alignment\_zero\_bit. However, normally, the NALU content is not byte-aligned. The final byte, in this case, contains firstly the remaining bits of the content, then the rbsp\_stop\_one\_bit, then the rbsp\_alignment\_zero\_bit(s) if required.

Byte stream NAL (BSNAL) syntax is specified in the Annex B of ITU-T Recommendation [2]. A BSNAL unit may contain the elements as listed in TABLE I. A BSNALU always starts with a **start\_code\_prefix** followed by a NALU.

TABLE I. SYNTAX FOR A BYTE STREAM NAL UNIT

Element	Leading zero 8bits	Zero byte	Start code prefix	NAL U	Trailing zero 8bits
<b>Value</b>	0x00	0x00	0x000001	...	0x00
<b>Quantity</b>	0 or more	1	1	1	0 or more
<b>Condition</b>	Only the first NALU	SPS, PPS, first slice of frame	All	All	Except the last NALU

In main profile, Exponential Golomb (Exp-Golomb) coding can be used with Context-based Adaptive Variable Length Coding (CAVLC) or with Context-based Adaptive Binary Arithmetic Coding (CABAC). Because of the project's scope, we implemented only Exp-Golomb coding and CAVLC in our main profile entropy coding. As shown in Fig. 1, residual data is encoded by using CAVLC and the other data by Exp-Golomb or Fixed-Length Code (FLC). The two entropy coding techniques will be introduced in the two next sub-sections.

### 2) Zigzag - CAVLC

Because the TQ engine processes data in blocks of 4×4 or 2×2 coefficients, data entering CAVLC is also in blocks. After transformation of a block, the DC coefficient is the one having the lowest frequency, the other coefficients called AC ones. Input data of each MB contains post-quantization DC luminance (*lumaDC*, only in Intra\_16×16 mode), *lumaAC*, DC chrominance (*chromaDC*) and *chromaAC* coefficients. The order of blocks entering CAVLC in MB is the order of residual syntax [3], as illustrated in Fig. 2.

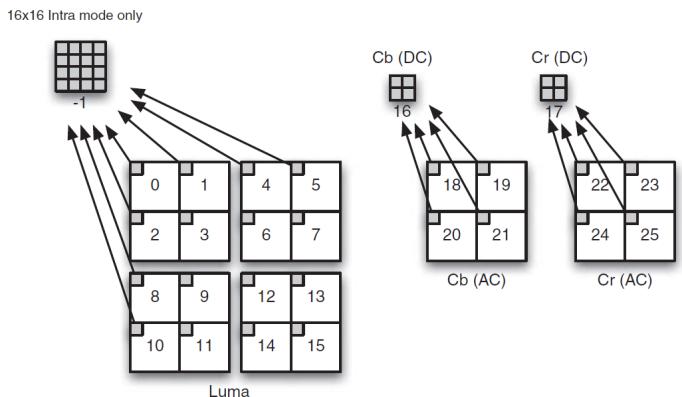


Fig. 2. Block scanning order in a MB [3].

Coefficients of a block are firstly rearranged in zigzag order. CAVLC scans the re-ordered coefficients sequence of a block to find five syntax elements:

- Coefficient token (**coeff\_token**) represents a pair of values, the total number of non-zero coefficients and the number of trailing one(s) in the block. Trailing ones are non-zero coefficients whose values are +/- 1 in the end of the zigzag sequence. Each block has at most three trailing ones.
- Signs of trailing ones (**T1s**) are from zero to three bits wide. They represent the signs of trailing-one coefficients in the reverse order.
- **Levels** are values of each non-zero coefficients in the block, except the trailing one cases. They are also in the reverse order.
- **TotalZeros** is the total number of zero coefficients before the last non-zero coefficient in the zigzag sequence.
- Runs of zeros (**run\_before**) are numbers of zero coefficients standing before each non-zero coefficients in the zigzag sequence. **Run\_before** represents the runs of zeros in the inverse order.

Each syntax element above is encoded using several different coding tables. The table selection is performed based on the previous encoded information. This gives the “context-based adaptive” feature of CAVLC coding technique. For example, there are five different coding tables to encode **coeff\_token**. The table selection for **coeff\_token** depends on number of non-zero coefficients in the upper and left blocks of the current block. It requires in total 41 different coding tables in CAVLC encoder.

### 3) Exp-Golomb encoder

The Exp-Golomb coding principal is to encode each unsigned code number (**codeNum**) to produce bit string [2] as explained below.

The **codeNum** is represented by the following expression:

$$\text{codeNum} = 2^M + N - 1, \text{ where } M, N \geq 0 \text{ and } 2^M > N.$$

The encoded bit string is then constructed from “prefix” bits and “suffix” bits. “Prefix” is a series of  $M$  zero bits followed by one bit one. The “suffix” is also  $M$  bits long whose value is equal to  $N$ . TABLE II. gives some examples of the code construction principal.

TABLE II. EXP-GOLOMB CODING EXAMPLES

<b>codeNum</b>	<b>Expression</b>	<b>Bit string</b>
0	$2^0 + 0 - 1$	1
1	$2^1 + 0 - 1$	010
2	$2^1 + 1 - 1$	011
3	$2^2 + 0 - 1$	00100
4	$2^2 + 1 - 1$	00101
...	...	...

Hence, this coding technique is efficient when low-value **codeNums** occur more frequently than high-value ones.

In the H.264, Exp-Golomb coding is used alternately with FLC to represent syntax elements in every NALU. It processes syntax elements in particular. Based on the statistical characteristic, each element is represented by a **codeNum** in different ways.

- If a syntax element is always larger than or equal to zero and the more frequently occurred values are the lower values, the process applied is called Unsigned Exp-Golomb coding (ue). Value of corresponding codeNum is the same value of the unsigned element.
- If a syntax element is signed and the expectation value is zero, the process applied is called Signed Exp-Golomb coding (se). Value of corresponding codeNum is mapped to syntax element value  $k$  as following:
  - **codeNum** =  $2|k|$  when  $(k \leq 0)$  and
  - **codeNum** =  $2|k| - 1$  when  $(k > 0)$ .
- If an unsigned element has different statistical characteristic from ue, its corresponding codeNum is then mapped to its value in a special way as indicated in the standard. The process applied is called Mapped Exp-Golomb coding (me).
- If an unsigned element has the largest possible value is 1, then Truncated Exp-Golomb (te) process is applied: the bit representing syntax element is inversed value of the element.

### B. State-of-Art Overview

In the literature, several hardware entropy coding (EC) and data packing modules for H.264 video encoding have been proposed. Here, only the EC in baseline profile (i.e. the Exp-Golomb and CAVLC coding techniques) is discussed for comparison purpose.

Actually, the EC is used in the syntax structure of the SPS, PPS at NAL layer. However, many hardware EC engines only encode the data at MB level, i.e. the MB header and residual [4][5][6]. A coding process for SPS, PPS and Slice header has been implemented in software in [4]. [5] encoded the slice header in software while the data in SPS and PPS is not mentioned. Meanwhile, [7] implemented all the EC to encode SPS, PPS, Slice header and MB in hardware. Hence, [4][7] proposed full-EC engines with data packer at NAL layer while other works just present a simple data packer to produce video data at VCL layer [5] or at a lower one [6].

Many methods, mostly focusing on the CAVLC encoder, have been introduced to enhance some features of the EC. For instance, pipelining architectures are usually used for the CAVLC [8] or for the entire EC [4] to increase the throughput. The coefficients entering the CAVLC encoder can be pre-flagged. Actually, the processing time is reduced when the encoder already knows the characteristic of the data block. In [4] and [5], some flags indicate the zero coefficients. In [6], the flags are used not only for zero coefficients but also for the signs of the non-zero ones. W.J. Lu et al. [7] also tried to increase throughput in the data packer while avoiding stall state when the **emulation\_prevention\_three\_byte** is added into the data stream. However, the stall state occurs very rarely [4]. As a consequence, this improvement does not gain much.

To reduce area cost, [6] and [7] tried to reduce the memory size. Actually, registers block can be implemented instead of RAM [6] to store reference information of neighboring blocks. The regularity calculation method decreases the number of registers in use. Based on the fact that the zero bit sequence starting codeword is much longer than representation of its length information, an optimized table to encode **coeff\_token** is proposed in [7]. In both designs, the level coding process is realized with calculating circuits instead of a Look-Up Table (LUT). This latest solution reduces as well the area cost.

Power consumption reduction is another concern. C.Y. Tsai et al. [5] proposed a low-power EC design that achieves about 69% of power saving in comparison with [4]. The main features introduced are Side Information Aided (SIA) and Symbol Look Ahead (SLA) modules to minimize the residual SRAM access. The SIA module is composed of non-zero and abs-one flag registers. Each register is a 2-D array of  $16 \times 16$  flags. Using these two flag registers, the CAVLC encoder can generate quickly the syntax elements to be encoded. With the flags in SIA, the SLA module calculates the address of non-zero coefficients to be read. Hence, accessing all coefficients, as usual methods do, is not necessary. The design shows promising power result with  $3.7mW$  at  $27MHz$  and  $1.8V$  for CIF video. However, with two additional modules, the total gate count is higher, that is  $26.6Kgates$  in comparison with  $23.6Kgates$  in [4] or  $15.86Kgates$  in [6]. W.J. Lu et al. [7] also proposed methods to reduce the power consumption. Firstly, the encoding process for SPS, PPS and slice header in HW excludes an additional processor to encode this data in software. This reduces the power consumption and the HW area of the whole chip. Secondly, since Exp-Golomb and CAVLC are not working in parallel, the clock is turned off for non-operating modules to consume less energy. However, the power result is not reported in [7]. Note that [6] shows power figures of only  $2.5mW$ , even better than the one of the power-oriented design [5]. This good power figure might be the result of an effort to reduce the memory its access. However, it is hard to make a fair comparison because the EC in [6] only encodes the data in MB level, with a simple data packer.

TABLE III. compares the main features of these designs. Targeting high throughput quality, both designs [4] and [6] have promising latency. Operating at  $100MHz$ , the latency of  $260cycles/MB$  [6] enables processing  $47.5 HD-frames/s$ , which is suitable for real-time applications. However, at the low-power operating frequency of  $27MHz$ , this design can only encode  $12.8HD-frames/s$ .

Comparing the two low cost designs [6][7], the latest one seems to be more suitable with  $9.5Kgates$  compared to  $26.6Kgates$  in [6]. With a slight improvement in the design techniques, the smaller area of [7] can be explained by a smaller targeted resolution (i.e. QCIF ( $171 \times 144$ ) while [6] deals with HD1080 ( $1920 \times 1080$ )), requiring smaller related memory blocks.

The techniques implemented to reduce the power consumption in [5] lead to a highest area cost ( $26.6Kgates$ ). On the other hand, the design in [7], also targeting low-power, has the smallest area cost, but its latency of  $1905cycles/MB$  in the worst case is high, and its resolution is also smaller. To give a

small power consumption, [7] would operate at a frequency lower than  $200MHz$ .  $27MHz$  might be the frequency suitable for low-power operation [5][6].

TABLE III. STATE OF THE ART COMPARISON

	[4]	[5]	[6]	[7]	[8]
<b>Target</b>	High throughput	Low power	Low cost; High throughput	Low cost; low power	CAVLC & Exp-Golomb
<b>Profile</b>	Baseline	Baseline	Baseline	Baseline	NA
<b>Resolution</b>	CIF $30fps$ to HD1080	CIF	HD1080	QCIF	NA
<b>Techno. (nm)</b>	180 UMC Artisan	180 TSMC Artisan	180 TSMC	180 SMIC	FPGA
<b>Freq. (MHz)</b>	100	27	100	200	31.2
<b>Latency (cycles/MB)</b>	200-500 depends on QP	NA	260	1905 in the worst case	NA
<b>Area (Kgates)</b>	23.6	26.598	15.86	9.504	5731 LUTs +1562 REGs
<b>Power</b>	NA	$3.7mW$	$2.5mW @ (27MHz 1.8V)$	NA	NA

### III. HARDWARE IMPLEMENTATION

#### A. Overall architecture

Fig. 3 shows the proposed overview architecture of our entropy coding and data packing engine. Encoded syntax elements are transferred from entropy coding to data packing via a FIFO. The main part of entropy coding engine consists of two encoders, the Exp-Golomb and Fixed-length code (EGF) and CAVLC modules. SPS, PPS, SH, and MB builders were implemented to collect the to-be-encoded data and send them to the EGF module in the specified order. SPS, PPS, SH's information is provided by global controller via system registers. MB header information is received from Intra-, Inter- and TQ engines.

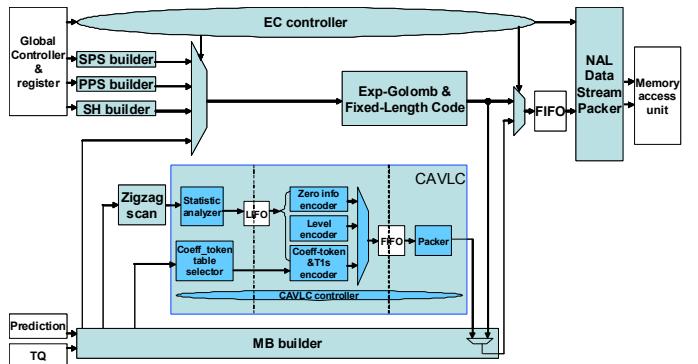


Fig. 3. Architecture of entropy coder.

Moreover, MB builder also send the residual coefficients from TQ and related information to zigzag scanner to encode them by CAVLC module. The data packing engine concatenates encoded syntax elements into NALUs. The EC

controller synchronizes the builder and enables data packing engine to start a new NALU.

Next section will introduce in details the main blocks of the design.

### B. Architecture of main modules

Due to the exponential expression of the **codeNum** (see Section II.A), the challenge of implementing Exp-Golomb encoder in hardware is logarithm operation to calculate  $M$  and then the code length ( $M = \text{floor}(\log_2(\text{codeNum}) + 1)$ ). To solve this problem, some designers implemented coding table in their Exp-Golomb encoders [4][5]. Another solution was found and presented in [9] and then applied in several later designs [6][7][8]. The solution is based on the fact that the code value is equal to **codeNum** + 1 and the code word is  $2M + 1$  bits long, where  $M$  is the order of the first one bit in the binary expression of code value. By this way, output code words are calculated using combinational circuits.

Our architecture of EGF module (see Fig. 4) also uses the second solution. The input value is processed up to its type (**ue**, **se**, **me** or **te**) in CodeVal calculator sub-block, to calculate code value. Then, the order of the first ‘1’ bit in code value is detected in CodeLen calculator sub-block. The code length for fixed-length code is given by another input port. Code length and code value are passed through barrel-shifter sub-block to give out the code output.

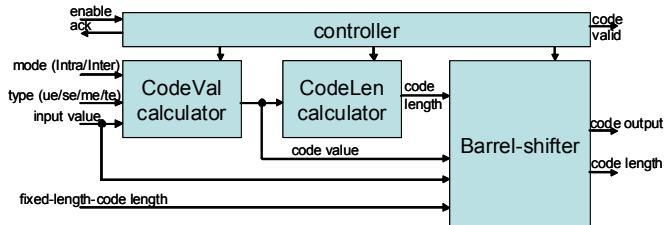


Fig. 4. Architecture of Exp-Golomb and Fixed-length coder (EGF).

Zigzag scan module is placed before CAVLC encoder to re-order the coefficients in blocks from raster scan order into zigzag order. The three-stage pipelining architecture of CAVLC module is described in Fig. 3. When zigzag-scanned coefficients enter the pre-process stage, they are analyzed to generate five syntax elements of the block. These syntax elements are then encoded at encoding stage. Finally, the packing stage receives encoded syntax elements and packs them into code words of 32-bit length.

We also propose techniques to improve the throughput of the CAVLC encoder. Using flags to indicate the zero blocks, zero-skipping technique prevents the zigzag module and the pre-process stage from scanning all the zero coefficients of a zero block. This method, thus, saves the time to process zero blocks that occurs frequently in the post-quantization data. Moreover, the implementation of table selector for **coeff\_token** coding inside the CAVLC encoder, instead of in the common RAM block in H.264 encoder, reduces significantly the workload of the global controller. This implementation enhances the global throughput of the H.264 encoding chip but increases significantly the hardware area of the EC modules. Moreover, to reduce the area cost for CAVLC encoder, re-

encoded LUT is applied for **coeff\_token** and codeword calculating is applied for level and **run\_before** syntax elements. These improving techniques were presented in our previous work [1][9].

The role of EC controller is to control the builders and NAL data packing engine to generate a video stream in NALUs. Our proposed EC controller is implemented as a Finite State Machine (FSM), see Fig. 5. Before packing and generating the first video slice, a SPS NALU and then a PPS NALU are packed. Each video slice starts with a slice header; the IDR slice starts with IDR slice header due to differences in syntax. The final video slice of the stream is followed by EoS NALU.

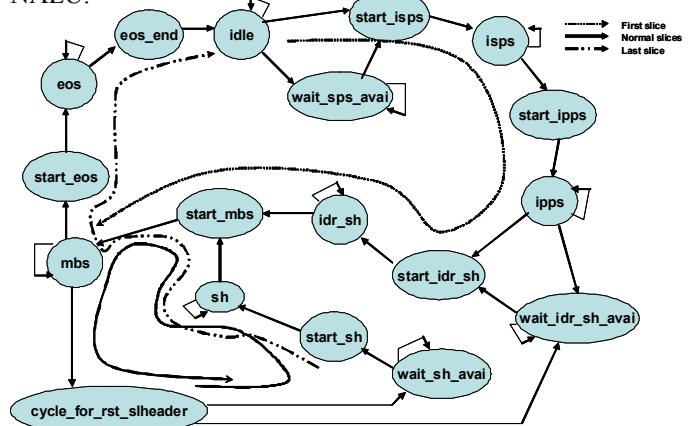


Fig. 5. Finite state machine to control entropy coder.

According to the specifications, we proposed the syntax of byte stream NALU as illustrated in Fig. 6. The data packing engine’s functionalities are to generate the first word with **start\_code\_prefix**; to select the first byte with corresponding **nal\_unit\_type** and **nal\_ref\_idc**; to insert the **emulation\_prevention\_three\_byte**, if required; and to add final byte with **rbsp\_stop\_one\_bit** then one or more **trailing\_zero\_8bits** to 32-bit align the output data.

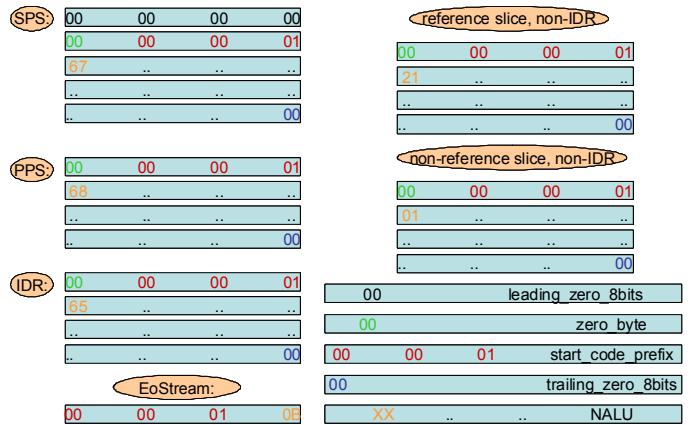


Fig. 6. Proposed syntax of BSNALU.

Fig. 7 depicts our proposed architecture for NAL data packing engine. Because the encoded syntax elements from FIFO can be at any length from 1 to 64 bits, the first step to packing data is byte aligning the current data and the newly concatenated element. The byte-aligned data is then checked if

three continuous bytes emulate the specific code. One byte **emulation\_prevention\_three** byte will be inserted to prevent this emulation. The data is then 32-bit aligned and given out in output stream.

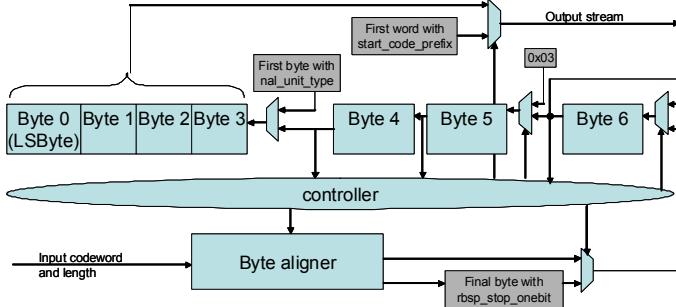


Fig. 7. Proposed architecture for byte stream data packing engine.

#### IV. VERIFICATION AND IMPLEMENTATION RESULTS

The proposed architecture has been modeled in VHDL at RTL level. To verify, our EC and data packing module has been integrated into a hardware H.264 video encoding system. Fig. 8 depicts the developed verification method. Using the video encoder system with the proposed ECNAL module, we encode raw test video sequences in targeted CIF format. The encoded videos are received from the output of data packing module. The encoded videos are then decoded successfully by JM decoder.

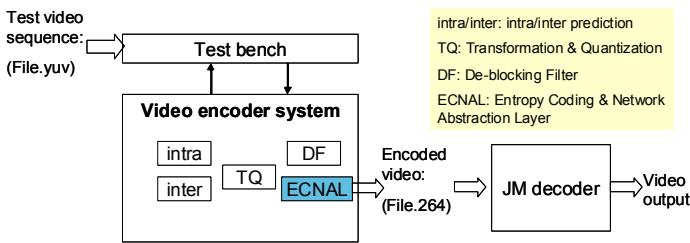


Fig. 8. Verification method.

The simulation is done by using ModelSim from Mentor Graphics and the design is synthesized with AMS CMOS 180nm technology by using DC Compiler from Synopsys.

Some implementation results of our EC and byte-stream packing data engines are presented in TABLE IV.

TABLE IV. IMPLEMENTATION RESULTS OF THE PROPOSED DESIGN

Technology	Cycles/MB	Frequency (MHz)	Area cost (Kgates)	Power (mW)
AMS 0.18μm	691 in the worst case	100	73.5	@100MHz

Due to the implementation of the table selector for **coeff token** syntax element and full hardware implementation of EC, including SPS, PPS, slice header data generators, our design occupies a larger silicon area than the others, approximate 73.5Kgates.

However, in terms of throughput, our EC engine encodes an MB in maximum 691 cycles (151 cycles for the worst case

of MB header plus 540 cycles for the worst case of residual data [1][9]). With this speed and at the operating frequency of 100MHz, the design is suitable for 720HD video format. In average cases, the encoding process only requires 25 to 90 cycles for MB header and 450 cycles for MB residual [1].

In terms of power consumption, our power result at 100MHz is only 1.56mW which is even less than 3.7mW of the low-power design in [5] and 2.5mW of another design in [6], both operating at 27MHz.

#### V. CONCLUSION

In this paper, we have summarized H.264 specifications for EC and byte-stream video format. We have provided an overview of previous EC hardware implementations. The full hardware architecture of EC and byte-stream data packing engine we propose is presented. The proposed design has been integrated into a hardware H.264 encoding system to perform encoding test video sequences. Being synthesized with the CMOS 180nm technology from AMS, the design is able to support 720HD video format and consumes the least, only 1.56mW, at the operating frequency of 100MHz.

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